



May 12, 2010

TO: CFA Chicago Regular Members
FROM: Daniela Mardarovici, CFA - Secretary
SUBJECT: PROPOSED SLATE OF 2010-2011 OFFICERS AND DIRECTORS

OFFICERS:

Chairman:	Leo Harmon, Jr., CFA
Vice Chairman:	Heather Brilliant, CFA
VP, Operations/Treasurer:	Daniela Mardarovici, CFA
VP, Member Development/Secretary:	Lisa Curran, CFA
VP, Academic Programs:	Howard Cohen, CFA
VP, Special Events:	Jeff Kernagis, CFA

DIRECTORS:

One-year term ending June 30, 2011:

Class D:	Joan K. Rockey, CFA	2011
Class E:	Kristin R. Finney-Cooke	2011
Class E:	Joseph T. Lower	2011
Class E:	Theresa Mozzocci, CFA	2011
Class E:	Scarlett Ungurean, CFA	2011

Three-year terms ending June 30, 2013:

Class B:	James A. DeZellar, CFA	2013
Class B:	John F. Jostrand, CFA	2013
Class B:	John Simmons, CFA	2013

Continuing in their respective terms ending June 30:

Class C:	Gautam Dhingra, CFA	2011
Class C:	Jodie M. Gunzberg, CFA	2011
Class C:	Thomas J. Marthaler, CFA	2011
Class A:	Sean M. Casey, CFA	2012
Class A:	John J. Kelley, CFA	2012
Class A:	Carol A. Levenson, CFA	2012

* See next page for brief biographical sketches for each of the nominees.

The 2009-2010 Nominating Committee members are:

Joseph Knecht, CFA, Chair
Heather Brilliant, CFA
Leo Harmon, Jr., CFA
Michael Lindh, CFA
Christopher Vincent, CFA

OFFICERS

For Chairman: Leo Harmon, Jr., CFA - Fiduciary Management Associates (FMA)

Leo Harmon currently serves as the Vice Chairman, has served as the VP-Operations/Treasurer and is a volunteer on the Career Management Advisory Group and a past Board Director. Mr. Harmon is an equity partner at FMA where he serves as a Portfolio Manager and Associate Team Leader for the FMA small cap products. Mr. Harmon has extensive experience as a research analyst and portfolio manager covering a variety of industries with particular expertise in financial services sector. Prior to joining FMA, he was a portfolio manager at Allstate Insurance, Allstate Investments LLC. He is a graduate of Bradley University and holds a MBA from Duke University.

For Vice Chairman: Heather Brilliant, CFA - Morningstar, Inc.

Heather Brilliant currently serves as the VP-Operations/Treasurer and has served as the Chair of the C.F.A.-Cultivating Female Ambition Advisory Group. Ms. Brilliant is director of securities research for Morningstar. In this role, she leads the global equity and credit research teams, consisting of more than 100 analysts and associate directors. Prior to her current role, Brilliant spent several years as an equity analyst at Morningstar and two boutique investment management firms. She has covered a variety of sectors, including pharmaceuticals, biotechnology, business services, and retail. Brilliant holds a bachelor's degree in economics from Northwestern University and a master's degree in business administration from the University of Chicago Booth School of Business.

For VP-Operations/ Treasurer: Daniela Mardarovici, CFA - Harris Investments

Daniela Mardarovici currently serves as VP-Member Development/Secretary and previously served as Chair of the C.F.A.-Cultivating Female Ambition Advisory Group. Ms. Mardarovici is a Principal and Fixed Income Portfolio Manager at Harris Investments. She specializes in mortgage-backed securities with a focus on long-term institutional mandates. Ms. Mardarovici was previously a Senior Analyst at a Chicago-based financial consulting firm and started her career in 2000 as a Proprietary Trader specializing in Treasury futures. She graduated magna cum laude from the University of Nebraska at Omaha with a BS in Finance and Economics and previously studied at the Academy of Economic Studies in Bucharest, Romania.

For VP-Member Development/Secretary: Lisa Curran, CFA – Perspicua Strategic Partners

Lisa Curran is currently an active member of the Candidate Services and C.F.A. - Cultivating Female Ambition Advisory Groups. She is the Chief Executive Officer of Perspicua Strategic Partners an independent consulting firm. Previously, Ms. Curran was the Director of Strategic Planning at the Chicago Mercantile Exchange where she led the team responsible for formulating the overall corporate strategy for the Exchange. She has more than fifteen years of financial services experience with institutions such as Citigroup, JP Morgan and Lehman Brothers. Ms. Curran is a Chartered Financial Analyst (CFA) Charterholder and has an MBA from New York University's Stern School of Business and a Bachelor of Science from Massachusetts Institute of Technology.

For VP-Academic Programs: Howard S. Cohen, CFA – Blaige & Co.

Howard Cohen serves as chair of the Society's recently formed Book Club. He also is a member of the Education Advisory Group and has been honored as volunteer of the year in 2009 and 2010. Mr. Cohen currently is Research Advisor for Blaige & Co. Previously, he was Vice President of Private Equity Investments at Bank of America, and has almost 20 years of credit and private equity financial industry experience. Mr. Cohen has an MBA in Finance from Kellogg Graduate School of Management at Northwestern University [Beta Gamma Sigma] and a B.A. with honors in History from Grinnell College [Phi Beta Kappa]. Mr. Cohen is a Chartered Financial Analyst [CFA] Charterholder and has previously held NASD Series 7 and Series 63 licenses

For VP-Special Events: Jeff Kernagis, CFA - Invesco Powershares

Jeff Kernagis, CFA, currently serves as VP-Special Events and has served as Chair of the Social Advisory Group. Mr. Kernagis manages ETFs for Invesco PowerShares, including the Buy-Write and taxable fixed income portfolios. Mr. Kernagis previously managed all of the Canadian division's ETFs and supervised fixed income Unit Investment Trust portfolios at Claymore Securities. With capital market experience dating back to 1989, Mr. Kernagis has analyzed and traded a variety of security classes (including derivatives) while working at ABN Amro, Bear Stearns, and Prudential. He holds a MBA from DePaul University and a BBA from the University of Notre Dame.

DIRECTORS

James A. DeZellar, CFA

Chicago Equity Partners, LLC

James DeZellar is a Partner and Managing Director of Chicago Equity Partners and he oversees the client services group. He has more than twenty-eight years of industry experience. Mr. DeZellar has held several positions at the firm, including portfolio manager. He earned a bachelor's degree in finance from The Ohio State University and a master's degree from the Kellogg Graduate School of Management at Northwestern University. He holds the Chartered Financial Analyst (CFA) designation. Mr. DeZellar is a member of the Economic Club and is also on the Business Council for La Rabida Children's Hospital and the Board of Advisors for the Catholic Charities of the Archdiocese of Chicago.

Kristin R. Finney-Cooke

Mercer

Kristin Finney-Cooke is a principal in Mercer's Chicago office. She is responsible for providing clients with advice on asset allocation, investment policy development, investment manager selection, performance measurement and overall analysis and evaluation of results. Currently, Ms. Finney-Cooke provides these services to large public and corporate fund clients. Prior to joining Mercer, she spent over six years at Credit Suisse First Boston in their Private Client Services Group where her primary focus was to develop and implement customized investment strategies to meet her client's financial objectives. Her consultative approach encompassed a complete analysis and evaluation of a client's financial goals, cash flow projections, risk/reward preferences and other economic considerations. Ms. Finney-Cooke received a BS degree from Howard University and an MBA in Finance and Accounting from the Graduate School of Business at the University of Chicago. Additionally, she was the Co-Chair for the National Association of Securities Professions 2004 Annual "Wealth Management Conference".

John F. Jostrand, CFA

William Blair & Company, LLC

John Jostrand is a Principal at William Blair & Company, and Portfolio Manager on the All Cap Growth and Large Cap Growth teams, which are responsible for institutional and mutual fund portfolios. He joined William Blair & Company in 1993 as a member of the All Cap Growth team; he co-founded the the Large Cap Growth team in 1997. Previously, Mr. Jostrand was Director, Investments, Equity Portfolio Manager, and Venture Capital Funds Manager with TRW, Inc. for ten years. Prior to that, he was an Assistant Trust Officer, Equity Fund Manager, and Research Analyst with Boatmen's National Bank for five years. Mr. Jostrand has an M.B.A in Finance from the University of Michigan and a B.A in Economics from the University of Missouri. He is a Chartered Financial Analyst (CFA) Charterholder, member of the CFA Institute, the CFA Society of Chicago, and past President of the Pilgrim Village Board of Trustees. He is National Chair, Parents Development Council at the University of Missouri and a Director of the Easter Seals of DuPage and the Fox Valley Region.

Joseph T. Lower

The Boeing Company

Joe Lower currently serves as Vice President - Business Development & Strategy at The Boeing Company with responsibility for corporate strategy, Mergers & Acquisitions, and transaction integration. Immediately prior, he served as Vice President - Corporate and Strategic Development for Boeing. Previously, Mr. Lower lead corporate development, sales and marketing and finance departments in a corporate environment. He began his career in investment banking, working for The First Boston Corporation and Dean Witter. Mr. Lower also currently serves as Vice Chairman of the Adler Planetarium in addition to many other civic duties. Mr. Lower holds an MBA with concentrations in accounting, finance and marketing from the Kellogg School at Northwestern University and a B.S. in finance from the Indiana University School of Business. Mr. Lower has completed NASD Series 7 training.

Theresa Mozzocci, CFA
Pluscios Management, LLC

Theresa Mozzocci is a Principal at Pluscios Management, a women-owned institutional hedge fund of funds. She has spent over 20 years working in the capital markets and alternatives sector both on new business development and as an investment analyst. Additionally, Ms. Mozzocci spent 4 years working on the floor of the Board of Trade as an arbitrage trader. Ms. Mozzocci has an M.B.A. from Kellstadt Graduate School at DePaul with an emphasis in Economics and a B.B.A. from the University of Wisconsin. Previously she also held the position on the Dallas CFA Society Board as Public Relations Chair. Ms. Mozzocci is a Chartered Financial Analyst.

Joan K. Rockey, CFA
Hadelrock Capital Management LLC

Joan Rockey currently serves as Chairman. She previously served as EVP, VP-Member Development/Secretary and Chair of the Finance Advisory Group. Ms. Rockey is Chief Executive Officer of Hadelrock Capital Management LLC. Previously, she was the Event-Driven Strategies Trader, Chief Financial Officer and Chief Operating Officer for Option Opportunities Company, and has more than 17 years of financial and securities industry experience. Ms. Rockey has an M.S. in Finance from the Kellstadt Graduate School of Business at DePaul University and a B.S. in Accountancy from the College of Business at University of Illinois Urbana-Champaign. Ms. Rockey is a Chartered Financial Analyst (CFA) Charterholder, a Licensed Certified Public Accountant (CPA), and a Chartered Alternative Investment Analyst (CAIA) Charterholder.

John Simmons, CFA
Nuveen HydePark Group, LLC

John Simmons is an active volunteer with the Annual Dinner Advisory Group. Mr. Simmons is President at Nuveen HydePark Group, LLC, where he is a member of the management committee and has responsibilities ranging from managing several of the group's multi-asset portfolios to business development to managing a number of the group's institutional relationships. Prior to joining the firm in 1999, Mr. Simmons worked in the Risk Management Support Group at Arthur Andersen, LLP, where he helped design and enhance benchmarking tools used globally to evaluate public and private audit clients and prospective clients. Mr. Simmons also worked in equity research and analysis for a major insurance company following his retirement from a career in professional baseball. Mr. Simmons graduated cum laude with a B.A. in English Literature and earned an M.B.A. with an emphasis in Financial Trading & Practice from Saint Xavier University.

Scarlett Ungurean, CFA
ABA Retirement Funds

Scarlett Ungurean, Executive Director of the ABA Retirement Funds, is in charge of managing the organization's retirement programs and carrying out its mission. Established by the ABA in 1966, ABA Retirement Funds Program provides full service, cost-effective retirement plan solutions exclusively to law firms of all sizes. Ms. Ungurean brings over 15 years of experience in the retirement industry. Before joining ABA Retirement Funds, Ms. Ungurean as Senior Manager Defined Contribution Plan oversaw the defined contribution plans at The Boeing Company, where she reviewed plan design and assisted with investment manager search and monitoring. Ms. Ungurean is a Chartered Accountant with an Honours Bachelor of Mathematics from the University of Waterloo in Canada.